



10010.1070C/P2134C

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

GARST et al.

Serial No.: 09/704,254

Filed: Nov. 1, 2000

Title: METHOD AND APPARATUS FOR
ENFORCING SOFTWARE
LICENSES

Examiner: Unassigned

Art Unit: 2759

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REQUEST FOR STATUS OF FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Upon review of our file, it was noted that the Filing Receipt has not been received in this application and, therefore, Applicant requests the status of the Filing Receipt.

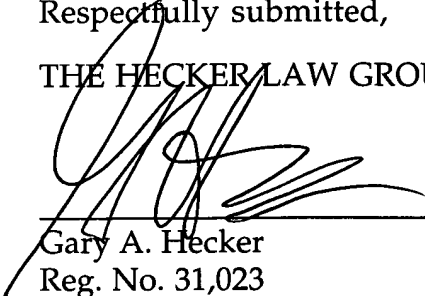
Applicant filed the application on November 1, 2000, by Express Mail (Label No. EL705169696US). A copy of the Utility Patent Application Transmittal and Express Mail label are enclosed. The return postcard date-stamped by the USPTO was received by Applicant on November 20, 2000, a copy of which is also enclosed herein.

Applicant requests that a Filing Receipt be issued and mailed to the undersigned Applicant's attorney at no cost to Applicant.

If there are any questions regarding this matter, please do not hesitate to contact the undersigned.

Respectfully submitted,
THE HECKER LAW GROUP

Date: July 19, 2001

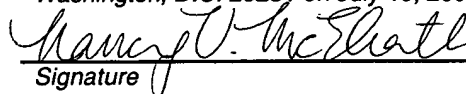


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CERTIFICATE OF MAILING

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Signature Date



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PTO/SB/05 (08-00)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 10010.1070C/P2134C
First Inventor GARST et al.
Title Method and Apparatus for...
Express Mail Label No. EL 705169696US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☐ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.
See 37 CFR 1.27.
- ☒ Specification [Total Pages 50]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 12]
- Oath or Declaration [Total Pages 2]
 - ☐ Newly executed (original or copy)
 - ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 17 completed)
 - ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s)) (prior app.)
- ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☒ Other: Supp. Util. App. Transmittal; Express Mail certificate of mailing

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Of prior application No. 08 901,776

Prior application information:

Examiner Retta, Y.

Group / Art Unit: 2764

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)



Correspondence address below

Name Gary A. Hecker, Esq.
THE HECKER LAW GROUP
Address 1925 Century Park East, Suite 2300
City Los Angeles State CA Zip Code 90067
Country U.S.A. Telephone (310) 286-0377 Fax (310) 286-0488

Name (Print/Type) Gary A. Hecker, Esq. Registration No. (Attorney/Agent) 31,023
Signature [Signature] Date 11-01-00

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Bib Data Sheet

CONFIRMATION NO. 3557

| | | | | | |
|--|---|-------------------------------|---|--|--------------------------------|
| SERIAL NUMBER 09/704,254 | FILING DATE 11/01/2000 RULE | CLASS 705 | GROUP ART UNIT 2161 | ATTORNEY DOCKET NO. 10010.1070C/P2134C | |
| APPLICANTS Blaine Garst, Belmont, CA; Bertrand Serlet, Palo Alto, CA; | | | | | |
| ** CONTINUING DATA ***** THIS APPLICATION IS A CON OF 08/901,776 07/28/1997 PAT 6,188,995 | | | | | |
| ** FOREIGN APPLICATIONS ***** | | | | | |
| IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 03/21/2001 | | | | | |
| Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance | | STATE OR COUNTRY CA | SHEETS DRAWING 12 | TOTAL CLAIMS 1 | INDEPENDENT CLAIMS 1 |
| Verified and Acknowledged Examiner's Signature _____ Initials _____ | | | | | |
| ADDRESS Hecker & Harriman 1 Infinite Loop MS 38 PAT Cupertino, CA 95014 | | | | | |
| TITLE Method and apparatus for enforcing software licenses | | | | | |
| FILING FEE RECEIVED 0.00 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit | | |



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| | | | |
|--|----------------------|------------------------|--------------------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | Application Number | 09/704,254 | |
| | Filing Date | 11/01/00 | |
| | First Named Inventor | GARST et al. | |
| | Group Art Unit | 2759 | |
| | Examiner Name | | |
| Total Number of Pages in This Submission | 6 | Attorney Docket Number | 10010.1070C/P2134C |

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| ENCLOSURES (check all that apply) | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Status of Filing Receipt; Copy of Express Mail Label No. EL705169696US; Copy of return postcard date-stamped 11/01/00 by the USPTO; Return postcard |
| Remarks | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|--|
| Firm or Individual name | Gary A. Hecker, Esq. THE HECKER LAW GROUP |
| Signature | |
| Date | July 19, 2001 |

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| Typed or printed name | Nancy V. McElrath |
| Signature | Date 7-19-01 |

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